For Internal Use Only Submit 1 Original OMB Approval No Expires: Sec File No. 91 and 9 Copies Estimated average burden hours per response: 2.00 **UNITED STATES** MAR 232018 SECURITIES AND EXCHANGE COMMISSIONO $^{\mathcal{N}}$ Washington, D.C. 20549 FORM 19b-4(e) Information Required of a Self-Regulatory Organization Listing and Tracing a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 19 READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM Initial Listing Report Part I 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: NYSE American, LLC (trading pursuant to unlisted trading privileges) 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): Open-end Management Investment Company 3. Class of New Derivative Securities Product: Managed Fund Shares Securities and Exchange Commission 4. Name of Underlying Instrument: Trading and Markets n/a 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: 6. Ticker Symbol(s) of New Derivative Securities Product: 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: n/a 8. Settlement Methodology of New Derivative Securities Product: Regular way trades settle on T+2/Book entry only held in DTC. 9. Position Limits of New Derivative Securities Product (if applicable): -18002514 Not applicable. Execution Part II The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Martha Redding Title: Associate General Counsel and Assistant Secretary Telephone Number: 212) 656-2938 Manual Signature of Official Responsible for Form: Act Securities Exchange Act of 1934 April/20, 2018 Section 196-4 Rule 195-4(e) Public APR 2 3 2018 Availability: